Head movement, ellipsis, and Russian polarity focus

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In this talk I chart the interaction between head movement, ellipsis, and non-canonical word orders in the analysis of a variety of Russian responses to statements or questions that raise polar alternatives in the discourse.

- (1) Evgenija poslala posylku v Moskvu? Evgenija send.PST.3SG.F package.ACC to Moscow.LOC 'Did Eugenia send the package to Moscow?'
 - a. (Net,) Ne poslala / (Da,) Poslala. No Neg send.pst.3sg.f / yes send.pst.3sg.f
 - '(No,) she didn't / (Yes,) she did.'
 - b. (Də) (net,) ne poslala ona eë!

 PRT no NEG send.PST.3SG.F she.nom it.F.ACC

 '(No,) she DIDN'T send it!'
 - c. V Moskvu {poslala / da}, a v Piter {ne poslala / net}. to Moscow {send.PST.3SG.F / yes} but to Piter {NEG send.PST.3SG.F / no} 'To Moscow yes (she did), to St. Petersburg, no (she didn't).'

Although some of these seemingly diverse constructions have already received individual analyses (Kazenin, 2006; Laleko, 2010; Gribanova, 2013; Bailyn, To appear), I argue that besides sharing a discourse function, they are also syntactically unifiable. As a first step, I defend an axis of novel claims about the expression of polarity in canonical Russian clauses: features associated with polarity are located in Pol, but may be expressed in a lower head (Neg), which enters the syntactic derivation with unvalued polarity features that are valued via an AGREE relation with Pol. I then extend this analysis to the cases in (1), arguing that these involve the expression of polarity features in a high clausal Polarity head (Pol), either via the realization of polarity particles or via the movement of the verbal complex to this high position (yielding discourse-marked vso orders).

Since many of the expressions in (1) also involve some readily observable form of ellipsis (Kazenin, 2006; Gribanova, 2013), the resulting empirical picture provides fertile ground for an exploration of the interaction of ellipsis and head movement, ultimately shedding light on the controversial question of the modular status of head movement (see Matushansky 2006; Roberts 2010 for useful overviews). Considering the head movement alone, a consequence of my proposal is that there are two possible landing sites for the verb: an intermediate position below T and the surface position of the subject for canonical SVO orders (Bailyn, 1995; Gribanova, 2013) and a high position (above the surface position of the subject) for various instantiations of polarity focus, yielding discourse-marked VSO orders. We can combine this observation with insights from the current literature on ellipsis in Russian, which suggests that at least two sizes of ellipsis site are available: TP (Kazenin, 2006) and vP (Gribanova, 2013). Crossing the ellipsis and head movement possibilities, we arrive at four logical possibilities:

	TP ellipsis	vP ellipsis
Verbal complex in intermediate position (Asp) $(\rightarrow \text{svo})$	A. Yes/No [TP]	B. SV $[v_P]$
Verbal complex in high position (Pol) $(\rightarrow VSO)$	C. V[_{TP}]	D. *VS $[v_P]$

Figure 1: Russian word order and ellipsis possibilities

I demonstrate that only three of these are attested, explaining the impossibility of the variant in D as the result of a violation of MaxElide (Merchant, 2008), a constraint which forces ellipsis of a larger domain over a smaller one in certain configurations. The effect of MaxElide canonically emerges when a variable inside the ellipsis site is bound from outside that ellipsis site — that is, it emerges in the context of movement in the narrow syntax. The finding that head movement also may trigger a MaxElide effect indicates — echoing similar findings by Hartman (2011) — that it, too, occurs in the narrow syntax.

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